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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 081468-0306640

First Inventor DIERICHS ET AL.

Title See attached addendum

Express Mail Label No. \_\_\_\_\_

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 13]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets \_\_\_\_\_]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Reader Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1499  Copies of IDS Citations
13.
14.  Preliminary Amendment
15.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
16.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
17.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
18.  Other: **COPY OF EUROPEAN SEARCH REPORT**.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. ....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: 00909      OR       Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Robert C. Perez	Registration No. (Attorney/Agent)	39328
Signature	11/24/03		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22387 U.S.PTO  
10/719009

112403

## Addendum

### Invention Title

METHOD OF FABRICATING AN OPTICAL ELEMENT, LITHOGRAPHIC APPARATUS AND DEVICE MANUFACTURING METHOD

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: MARCEL MATHIJS THEODORE DIERICHES and  
ERIK ROELOF LOOPSTRA

Application No.: TO BE ASSIGNED Confirmation  
No:  
Filed: November 24, 2003 Group No.:  
For: Examiner  
METHOD OF FABRICATING AN OPTICAL ELEMENT, LITHOGRAPHIC APPARATUS  
AND DEVICE MANUFACTURING METHOD

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**APPLICATION DATA SHEET**  
**37 C.F.R. § 1.76****BIBLIOGRAPHIC DATA****1. Applicant information**

First applicant: MARCEL MATHIJS THEODORE DIERICHES  
Citizenship: THE NETHERLANDS  
Residence: Chirurgijnstraat 1, NL-5914 WR Venlo, The Netherlands

Second applicant: ERIK ROELOF LOOPSTRA  
Citizenship: THE NETHERLANDS  
Residence: Hodibalduslaan 15, NL-5591 BA Heeze, The Netherlands

**2. Correspondence information**

Correspondence for this application should be addressed as follows:

Customer No.: 00909

**3. Application information**

Title of Invention: METHOD OF FABRICATING AN OPTICAL ELEMENT,  
LITHOGRAPHIC APPARATUS AND DEVICE  
MANUFACTURING METHOD

Docket number assigned to this application: 081468-0306640

Total number of drawing sheets: 2

Type of application: Utility

Application is to be published. Suggested drawing figure for publication: FIGURE 2

Secrecy order under § 5.2:

This application does not disclose subject matter of an application which is under a secrecy order pursuant to § 5.2.

**4. Representative information**

The following have a power of attorney or authorization of agent in this application:

Customer No.: 00909

**5. Foreign priority information**

Foreign priority is claimed for this application as follows:

Country: EUROPE  
Application No.: 02258121.9  
Filing Date: November 26, 2002

**6. Assignee information**

The assignee(s) of this application is/are:

ASML NETHERLANDS B.V.  
De Run 1110, NL-5503 LA Veldhoven, THE NETHERLANDS  
Extent of interest of assignee in application: ENTIRE RIGHT, TITLE AND INTEREST

Date: November 24, 2003

PILLSBURY WINTHROP LLP  
P.O. Box 10500  
McLean, VA 22102  
Tel. No.: (703) 905-2159

Customer No.: 00909



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Signature of Practitioner  
Robert C. Perez  
Reg. No.: 39328